

# Multicast Capacity of Multihop Cognitive Networks

Cheng Wang\*, Shaojie Tang<sup>†</sup>, Xiang-Yang Li<sup>†\*‡</sup> and Changjun Jiang\*

\* Department of Computer Science, Tongji University, Shanghai, China

<sup>†</sup> Department of Computer Science, Illinois Institute of Technology, Chicago, IL, 60616

<sup>‡</sup>Institute of Computer Application Technology, Hangzhou Dianzi University, Hangzhou, 310018, China

**Abstract**—In this paper, we study the capacity of *cognitive networks*. We focus on the network model consisting of two overlapping ad hoc networks, called the *primary ad hoc network* (PaN) and *secondary ad hoc network* (SaN), respectively. PaN and SaN operate on the same space and spectrum. For PaN (or SaN resp.) we assume that primary (or secondary resp.) nodes are placed according to a Poisson point process of intensity  $n$  (or  $m$  resp.) over a unit square region. We randomly choose  $n_s$  (or  $m_s$  resp.) nodes as the sources of multicast sessions in PaN (or SaN resp.), and for each primary source  $v^p$  (or secondary source  $v^s$ ), we pick uniformly at random  $n_d$  primary nodes (or  $m_d$  secondary nodes) as the destinations of  $v^p$  (or  $v^s$ ). Above all, we assume that PaN can adopt the optimal protocol in terms of the throughput. Our main work is to design the multicast strategy for SaN by which it can achieve the optimal throughput, without any negative impact on the throughput for PaN in order sense. Specifically, depending on  $n_d$  and  $n$ , we choose the optimal strategy for PaN from two candidates called *percolation strategy* and *connectivity strategy*, respectively. Subsequently, we design the corresponding throughput-optimal strategy for SaN. We further derive the regimes for  $n$ ,  $n_d$ ,  $m$  and  $m_d$  where the throughputs for PaN and SaN can simultaneously achieve the upper bound of their capacities asymptotically.

**Index Terms**—Cognitive networks, wireless ad hoc networks, multicast capacity, random networks, percolation theory.

## I. INTRODUCTION

The demand for bandwidth is rapidly increasing. However, a large portion of the assigned spectrum is used sporadically and geographical variations in the utilization of assigned spectrum ranges from 15% to 85% with a high variance in time [1], [2]. A solution to the issue that the limited available spectrum co-exists with the inefficiency in the spectrum usage is to permit some users to exploit the wireless spectrum opportunistically without having a negative impact on the licensed users. Thus, a new communication paradigm, *i.e.*, *cognitive network*, was proposed. A cognitive network generally consists of two independent overlapping networks, called the *primary networks* and *secondary networks*, that operate at the same time, space and frequency. The secondary users are equipped with cognitive radios and are able to sense the idle spectrum and obtain the necessary information of primary users [3], [4].

In this paper, we study the capacity of networks consisting of the primary ad hoc network (PaN) and the secondary ad hoc network (SaN). We assume that the nodes of PaN and SaN are distributed according to a Poisson point process of intensity  $n$  and  $m$  respectively over a square. Due to the generality of multicast sessions, we directly focus on the multicast capacity that unifies the results on unicast and broadcast capacity, [5], [6]. Due to the nature of wireless medium, both the primary

network and secondary network have impact on each other under the noncooperative communication scenario as long as they share the same spectrum in the same time. Thus, the upper bounds on the capacity for PaN is no more than that for the single network isomorphic to PaN. Similarly, it holds for SaN. We note that the communications in SaN should be non-destructive to the communications of primary users.

The most important constraint for cognitive networks is that the primary network does not alter its protocol in operation due to the secondary network anyway, [1], [7]. Otherwise, a simple equal time-sharing can achieve the same order of throughput for both networks as they are stand-alone, which makes the problem trivial. Under the constraint, a challenging issue is that once the protocol for PaN is fixed, whether there exist communication strategies for SaN by which it can achieve the upper bounds on its capacity, without negative impact on the throughput for PaN in order sense. We answer the question positively in this paper. Similar to the results in [8], we can choose the optimal strategy for PaN from two candidates called *percolation strategy* and *connectivity strategy*, respectively, depending on  $n_d$  and  $n$ . Under the *percolation strategy*, the routing scheme is constructed based on the *highways* system ([9], [10]). Under the *connectivity strategy*, the routing is based on *connectivity paths* in which the link length is in the order of the minimum for ensuring global network connectivity. After the strategy for PaN is determined, we start our main and most challenging work, *i.e.*, designing the multicast strategy for SaN. An adopted technique, proposed in [7], [11], is to set the *preservation region* for each primary user. The significant difference between the strategies for SaN and the corresponding ones for PaN is that the *preservation regions* can not be passed through by any transmission in SaN. For both networks, there exist the thresholds on the number of destinations of each multicast session, below which *percolation strategies* do perform better than the others. Based on those thresholds, we use the two types of multicast strategies accordingly, and derive their corresponding multicast throughputs. We show that when the densities of SaN and PaN satisfy some conditions, the multicast throughputs for PaN and SaN can simultaneously achieve the upper bounds of their multicast capacities.

The rest of the paper is organized as follows. We introduce the system model in Section II. Main results are presented in Section III. We make technical preparations in Section IV. In Section V, we propose the multicast strategies for both networks. In Section VI, we derive the achievable throughput and prove main results. We review the related work in Section

VII. In Section VIII, we conclude the paper. All proofs not appearing in body are gathered in Appendix.

## II. SYSTEM MODEL

Throughout the paper, we mainly consider the event that happens with high probability (*w.h.p.*) as the scale of network (the number of users in the network) goes to infinity.

NOTATIONS: In the paper, we adopt the following notations:

- $x \rightarrow \infty$  denotes that variable  $x$  takes value to infinity.
- For a discrete set  $\mathcal{U}$ ,  $|\mathcal{U}|$  represents its cardinality.
- For a continuous region  $\mathcal{A}$ , let  $\|\mathcal{A}\|$  denote its area.
- For a 2-dimension line segment  $\mathcal{L} = uv$ ,  $\|\mathcal{L}\|$  represents its Euclidean length. For a tree  $\mathcal{T}$ , denote its total Euclidean edge lengths by  $\|\mathcal{T}\|$ .
- For Event  $E$ , denote the probability of  $E$  as  $\Pr(E)$ .
- The notion  $\theta(n) \sim [\theta_1(n), \theta_2(n)]$  represents that  $\theta(n) = \Omega(\theta_1(n))$  and  $\theta(n) = O(\theta_2(n))$ , while  $\theta(n) \sim (\theta_1(n), \theta_2(n))$  means that  $\theta(n) = \omega(\theta_1(n))$  and  $\theta(n) = O(\theta_2(n))$ .

### A. Network Topology

Denote PaN and SaN respectively by

$$\mathcal{N}_p(n) = (\mathcal{V}_p(n), \mathcal{E}_p(n)) \text{ and } \mathcal{N}_s(m) = (\mathcal{V}_s(m), \mathcal{E}_s(m))$$

where  $\mathcal{V}_p(n)$  (or  $\mathcal{V}_s(m)$  resp.) and  $\mathcal{E}_p(n)$  (or  $\mathcal{E}_s(m)$  resp.) are the set of nodes and edges of  $\mathcal{N}_p(n)$  (or  $\mathcal{N}_s(m)$  resp.). The nodes of PaN and SaN are distributed according to a Poisson point processes (p.p.p.) of intensity  $n$  and  $m$  respectively over a unit square  $\mathcal{A} = [0, 1]^2$ , i.e., we consider the *dense network model* [9], [12]. From Chebychev's inequality, we can easily obtain, *w.h.p.*, the number of primary nodes (or secondary nodes), i.e.,  $|\mathcal{V}_p(n)|$  (or  $|\mathcal{V}_s(m)|$  resp.), is within  $[(1-\varepsilon)n, (1+\varepsilon)n]$  (or  $[(1-\varepsilon)m, (1+\varepsilon)m]$  resp.). To simplify description, assume that  $|\mathcal{V}_p(n)| = n$  and  $|\mathcal{V}_s(m)| = m$  respectively as in [9], [13], which does not change our asymptotic results.

### B. Gaussian Channel Model

Both networks are assumed to operate based on TDMA scheme. The time slots of two networks are assumed to have equal length, however, the scheduling periods are unnecessarily equal. Let  $\mathcal{V}(\tau)$  denote the set of nodes scheduled at slot  $\tau$ . Then, during any time slot  $\tau$ , a node  $v_i \in \mathcal{V}(\tau)$  can send data to a node  $v_j$  via a direct link, over a channel with bandwidth  $B$ , of rate  $R(v_i, v_j) = B \log(1 + \frac{S(v_i, v_j)}{N_0 + I(v_i, v_j)})$ , where  $N_0$  is the ambient noise,  $S(v_i, v_j)$  is the strength of the signal initiated by  $v_i$  at the receiver  $v_j$ ,  $I(v_i, v_j)$  is the sum interference on  $v_j$  produced by all nodes belong to  $\mathcal{V}(\tau) - \{v_i\}$ . Since no inter-communication occurs between two networks, we have

$$I(v_i, v_j) = \begin{cases} I_{pp}(v_i, v_j) + I_{sp}(v_i, v_j), & \text{when } v_i, v_j \in \mathcal{V}_p(n) \\ I_{ps}(v_i, v_j) + I_{ss}(v_i, v_j), & \text{when } v_i, v_j \in \mathcal{V}_s(m) \end{cases}$$

where  $I_{pp}(v_i, v_j)$ , or  $I_{ps}(v_i, v_j)$ , denotes the sum of interference on  $v_j$  produced by all nodes in  $\mathcal{V}_p(v_i, \tau) = \mathcal{V}(\tau) \cap \mathcal{V}_p(n) - \{v_i\}$ ;  $I_{sp}(v_i, v_j)$ , or  $I_{ss}(v_i, v_j)$ , denotes the

sum of interference on  $v_j$  produced by all nodes in  $\mathcal{V}_s(v_i, \tau) = \mathcal{V}(\tau) \cap \mathcal{V}_s(m) - \{v_i\}$ .

The wireless propagation channel typically includes path loss with distance, shadowing and fading effects. In this paper, as in [9], [12], we assume that the channel gain depends only on the distance between a transmitter and receiver, and ignore shadowing and fading. The channel power gain  $\ell(v_i, v_j)$  is given by  $\ell(v_i, v_j) = (d(v_i, v_j))^{-\alpha}$ , where  $d(v_i, v_j) = \|v_i v_j\|$  is the Euclidean distance between two nodes  $v_i$  and  $v_j$ ,  $\alpha > 2$  is the power attenuation exponent. Notice that our results hold as long as *near field effects of electromagnetic propagation* can be neglected.

### C. Capacity Definition

We propose the formal definition of capacity based on that in [5]. Let  $\mathcal{V} = \{v_1, v_2, \dots, v_n\}$  denote the set of all nodes in the network and let the subset  $\mathcal{S} \subseteq \mathcal{V}$  denote the set of source nodes of multicast. Let the number of multicast sessions be  $|\mathcal{S}| = n_s$ . For each source  $v_{S,i} \in \mathcal{S}$ , we uniformly choose  $n_d$  nodes at random from other nodes to construct  $\mathcal{D}_{S,i} = \{v_{S,i_1}, v_{S,i_2}, \dots, v_{S,i_{n_d}}\}$  as the set of destinations, where obviously  $n_d \leq n - 1$ . We call  $\mathcal{U}_{S,i} = \{v_{S,i}\} \cup \mathcal{D}_{S,i}$  the *spanning set* of multicast session  $\mathcal{M}_{S,i}$ . Denote  $\Lambda_{S,n_d} = (\lambda_{S,1}, \lambda_{S,2}, \dots, \lambda_{S,n_s})$  as a *rate vector* of the multicast data rate of all multicast sessions.

*Definition 1 (Feasible Rate Vector):* A multicast rate vector  $\Lambda_{S,n_d} = (\lambda_{S,1}, \lambda_{S,2}, \dots, \lambda_{S,n_s})$  is called  $(\rho_s, \rho_d)$ -feasible, where  $\rho_s$  and  $\rho_d$  are both constants in  $[0, 1]$ , if for a subset of sources, denoted as  $\mathcal{S}'(\rho_s, \rho_d) \subseteq \mathcal{S}$  satisfying  $|\mathcal{S}'(\rho_s, \rho_d)| = \rho_s(n) \cdot n_s$ , there exists a spatial and temporal scheme for scheduling transmissions by which every source  $v_{S,i} \in \mathcal{S}'(\rho_s, \rho_d)$  can deliver data to at least  $\rho_d(n, i) \cdot n_d$  destinations at rate of  $\lambda_{S,i}$ . That is, there is a  $T < \infty$  such that in every time interval (with unit seconds)  $[(i-1) \cdot T, i \cdot T]$ , every node  $v_{S,i} \in \mathcal{S}'(\rho_s, \rho_d)$  can send  $T \cdot \lambda_{S,i}$  bits to at least its  $\rho_d(n, i) \cdot n_d$  destinations, where

$$\lim_{n \rightarrow \infty} \rho_s(n) = \rho_s; \quad \lim_{n \rightarrow \infty} \inf_{v_{S,i} \in \mathcal{S}'(\rho_s, \rho_d)} \{\rho_d(n, i)\} = \rho_d.$$

A multicast rate vector  $\Lambda_{S,n_d} = (\lambda_{S,1}, \lambda_{S,2}, \dots, \lambda_{S,n_s})$  is called *feasible* if it is  $(1, 1)$ -feasible.

Based on a *multicast rate vector*, we can define the following three types of multicast throughput (MT).

- Aggregated multicast throughput (AMT)  
 $\Lambda_{S,n_d}^T(n) = \sum_{v_{S,i} \in \mathcal{S}'(1,1)} \lambda_{S,i}$
- Average per-session multicast throughput (APMT)  
 $\Lambda_{S,n_d}^P(n) = \frac{1}{n_s} \sum_{v_{S,i} \in \mathcal{S}'(1,1)} \lambda_{S,i}$
- Minimum per-session multicast throughput (MPMT)  
 $\Lambda_{S,n_d}^M(n) = \min_{v_{S,i} \in \mathcal{S}'(1,1)} \lambda_{S,i}$

Correspondingly, we define three types of the *achievable* multicast throughput based on the *feasible rate vector*.

*Definition 2:* AMT  $\Lambda_{S,n_d}^T(n)$  (or APMT  $\Lambda_{S,n_d}^P(n)$ , or MPMT  $\Lambda_{S,n_d}^M(n)$ ) is *achievable* if the multicast rate vector  $\Lambda_{S,n_d} = (\lambda_{S,1}, \dots, \lambda_{S,n_s})$  is *feasible*.

*Definition 3 (Capacity of Random Networks):* The aggregated multicast capacity of a class of random networks is

of order  $\Theta(g(n))$  bits/sec if there are deterministic constants  $c > 0$  and  $c < c' < +\infty$  such that

$$\lim_{n \rightarrow \infty} \Pr(\Lambda_{\mathcal{S}, n_d}^T(n) = c \cdot g(n) \text{ is achievable}) = 1,$$

$$\liminf_{n \rightarrow \infty} \Pr(\Lambda_{\mathcal{S}, n_d}^T(n) = c' \cdot g(n) \text{ is achievable}) < 1.$$

We can similarly define the per-session and minimum per-session capacity for random networks. As in most related work, we assume that  $n_s = \Theta(n)$ .

### III. MAIN RESULTS

For  $\mathcal{N}_p(n)$ , we choose the better strategy in terms of throughput from the *percolation strategy* and *connectivity strategy*. For  $\mathcal{N}_s(m)$ , we design corresponding strategies to achieve the optimal throughput.

In the following theorems, the results for PaN hold under the condition that  $n = o(\frac{m}{\log m})$ , and the results for SaN hold under Assumption A and Assumption B.

ASSUMPTION A: For PaN and SaN, we assume that

- 1)  $\mathcal{N}_p(n)$  operates as  $\mathcal{N}_s(m)$  were absent. That is,  $\mathcal{N}_p(n)$  does not alter its protocol due to  $\mathcal{N}_s(m)$  anyway.
- 2) Secondary nodes know the locations of primary nodes.

ASSUMPTION B: For  $m$ ,  $m_d$  and  $n$ ,

- 1) When  $m_d = \omega(\log m)$ , we assume that  $n = o(\frac{m}{\log m})$ .
- 2) When  $m_d = O(\log m)$ , we assume that  $n = o(\frac{m}{m_d \cdot \log m})$ .

Since the fact that APMT  $\Lambda_{\mathcal{S}, n_d}^P(n)$  must be achievable if MPMT  $\Lambda_{\mathcal{S}, n_d}^M(n) = \min_{v_{S,i} \in \mathcal{S}'(1,1)} \lambda_{S,i}$  is achievable. Then, we mainly focus on the following representative metrics.

- P-MPMT: The minimum per-session MT for PaN;
- S-MPMT: The minimum per-session MT for SaN;
- P-AMT: The aggregated MT for PaN;
- P-AMT: The aggregated MT for SaN;
- P-AMC: The aggregated multicast capacity for PaN;
- S-AMC: The aggregated multicast capacity for SaN.

Based on certain metrics above, other types of multicast throughput (or capacity) can be derived. Before presenting the main results, we define two functions with positive integer domains as follows.

$$T_1(x, y) = \begin{cases} \Omega(\frac{1}{\sqrt{xy}}) & \text{when } y \sim [1, \frac{x}{(\log x)^3}] \\ \Omega(\frac{1}{y} \cdot (\log x)^{\frac{3}{2}}) & \text{when } y \sim [\frac{x}{(\log x)^3}, x] \end{cases}$$

$$T_2(x, y) = \begin{cases} \Omega(\frac{1}{\sqrt{xy \log x}}) & \text{when } y \sim [1, \frac{x}{\log x}] \\ \Omega(1/x) & \text{when } y \sim [\frac{x}{\log x}, x] \end{cases}$$

*Theorem 1:* When  $n_d \sim [1, \frac{n}{(\log n)^2}]$  and  $m_d \sim [1, \frac{m}{(\log m)^2}]$ , the achievable throughput is of order as following.

- P-MPMT:  $\Omega(T_1(n, n_d))$ , P-AMT:  $\Omega(n \cdot T_1(n, n_d))$
- S-MPMT:  $\Omega(T_1(m, m_d))$ , S-AMT:  $\Omega(m \cdot T_1(m, m_d))$

*Theorem 2:* When  $n_d \sim [1, \frac{n}{(\log n)^2}]$  and  $m_d \sim [\frac{m}{(\log m)^2}, m]$ , the achievable throughput is as following.

- P-MPMT:  $\Omega(T_1(n, n_d))$ , P-AMT:  $\Omega(n \cdot T_1(n, n_d))$
- S-MPMT:  $\Omega(T_2(m, m_d))$ , S-AMT:  $\Omega(m \cdot T_2(m, m_d))$

*Theorem 3:* When  $n_d \sim [\frac{n}{(\log n)^2}, n]$ , for all  $m_d \sim [1, m]$ , the achievable throughput is of order as following.

- P-MPMT:  $\Omega(T_2(n, n_d))$ , P-AMT:  $\Omega(n \cdot T_2(n, n_d))$

- S-MPMT:  $\Omega(T_2(m, m_d))$ , S-AMT:  $\Omega(m \cdot T_2(m, m_d))$

Combining with the upper bounds proposed in [6], [14] (Lemma 2), we can obtain the following theorem.

*Theorem 4:* P-AMC is of order

$$C_p^A(n) = \begin{cases} \Theta(\frac{\sqrt{n}}{\sqrt{n_d}}) & \text{when } n_d \sim [1, \frac{n}{(\log n)^3}] \\ \Theta(1) & \text{when } n_d \sim [n/\log n, n] \end{cases}$$

And S-AMC is determined by PaN, *i.e.*,

When  $n_d \sim [1, \frac{n}{(\log n)^2}]$ , AMC for SaN is of order

$$C_p^A(m) = \begin{cases} \Theta(\frac{\sqrt{m}}{\sqrt{m_d}}) & \text{when } m_d \sim [1, m/(\log m)^3] \\ \Theta(1) & \text{when } m_d \sim [m/\log m, m] \end{cases}$$

When  $n_d \sim [\frac{n}{(\log n)^2}, n]$ , AMC for SaN is of order

$$C_p^A(m) = \Theta(1) \text{ when } m_d \sim [m/\log m, m]$$

According to Theorem 4, we get that, for the case that  $n_d \sim [1, n/(\log n)^2]$  and  $m_d \sim [m/(\log m)^3, m/\log m]$ , and for the case that  $n_d \sim [n/(\log n)^2, n]$  and  $m_d \sim [1, m/\log m]$ , there are still gaps between the upper bounds and lower bounds. How to close these gaps may leave as our future work.

### IV. TECHNICAL PREPARATIONS

First of all, we recall some useful results on bounds.

*Lemma 1 (Li et al. [5]):* For any set  $\mathcal{U}$  of  $n_d + 1$  nodes placed in a square with unit side-length, the length of *Euclidean spanning tree*  $EST(\mathcal{U})$  obtained by Algorithm 1 is at most  $2\sqrt{2} \cdot \sqrt{n_d}$ .

---

#### Algorithm 1 Construction of EST

---

**Input:** The set of nodes  $\mathcal{U}$

**Output:**  $EST(\mathcal{U})$ .

- 1: In the initial state, all nodes of  $\mathcal{U}$  are isolated, then there are  $n_d + 1$  connected components.
  - 2: **for**  $i = 1 : n_d$  **do**
  - 3: Partition the deployment region  $\mathcal{A} = [0, 1]^2$  into at most  $n_d + 1 - i$  square cells, each with side length  $1/\lfloor \sqrt{n_d + 1 - i} \rfloor$ ;
  - 4: Find a cell that contains two nodes of  $\mathcal{U}$  that are from two different connected components. By connecting the pair of nodes, we merge the two connected components.
  - 5: **end for**
- 

Based on a technique called *arena* in [14], Keshavarz-Haddad *et al.* have derived the upper bound of the multicast capacity for *dense networks* in [6]. That is,

*Lemma 2:* The aggregated multicast capacity for the single *dense networks* isomorphic to PaN, is at most of order

$$\begin{cases} O(\frac{1}{\sqrt{n_d n}}) & \text{when } n_d \sim [1, \frac{n}{(\log n)^2}] \\ O(\frac{1}{n_d \cdot \log n}) & \text{when } n_d \sim [\frac{n}{(\log n)^2}, \frac{n}{\log n}] \\ O(\frac{1}{n}) & \text{when } n_d \sim [\frac{n}{\log n}, n] \end{cases} \quad (1)$$

The analogue result for SaN holds by substituting  $m$  and  $m_d$  for  $n$  and  $n_d$  in Eq. (1).

**Poisson Boolean Percolation Model:** In 2-dimensional Poisson Boolean model  $\mathcal{B}(\lambda, r)$  [15], nodes are distributed according to a p.p.p of intensity  $\lambda$  in  $\mathbb{R}^2$ . Each node is associated to a closed disk with radius  $r/2$ . Two disks are *directly connected* if they overlap. Two disks are *connected* if there exists a sequence of directly connected disks between them. Define a *cluster* as a set of disks in which any two disks are connected. Define the set of all clusters as  $\mathcal{C}(\lambda, r)$ . Define the number of disks in the cluster  $C_i \in \mathcal{C}(\lambda, r)$  as a random variable  $N(C_i)$ . We can associate  $\mathcal{B}(\lambda, r)$  to a graph  $\mathcal{G}(\lambda, r)$ , called *associated graph*, by associating a vertex to each node of  $\mathcal{B}(\lambda, r)$  and an edge to each direct connection in  $\mathcal{B}(\lambda, r)$ . The two models  $\mathcal{B}(\lambda, r)$  and  $\mathcal{B}(\lambda_0, r_0)$  lead to the same associated graph, namely  $\mathcal{G}(\lambda, r) = \mathcal{G}(\lambda_0, r_0)$  if  $\lambda_0 r_0^2 = \lambda r^2$ . Then, the graph properties of  $\mathcal{B}(\lambda, r)$  depend only on the parameter  $\lambda r^2$ , [12]. The *percolation probability*, denoted as  $p$ , is one that a given node belongs to a cluster with an infinite number of nodes. With  $C$  denoting the cluster containing the given node, the percolation probability is thus defined as  $p(\lambda, r) = p(\lambda r^2) = \Pr_{\lambda, r}(|C| = \infty) = \Pr_p(|C| = \infty)$ . We call  $p_c$  the *critical percolation threshold* of Poisson Boolean model in  $\mathbb{R}^2$  when  $p_c = (\lambda r^2)_c = \sup\{\lambda r^2 | p(\lambda r^2) = 0\}$ . The exact value of  $(\lambda r^2)_c$  is not yet known. The best analytical results show that it is within (0.7698, 3.372) [15], [16]. In our analysis, we will use the following lemma.

**Lemma 3 (Meester and Roy [15]):** For a Poisson Boolean model  $\mathcal{B}(\lambda, r)$  in  $\mathbb{R}^2$ , if  $\lambda r^2 < p_c$ , it holds that

$$\Pr(\sup\{N(C_i) \mid C_i \in \mathcal{C}(\lambda, r)\} < \infty) = 1,$$

where  $p_c$  is the *critical percolation threshold* of Poisson Boolean model in  $\mathbb{R}^2$ .

**Bond Percolation Model:** We mainly recall a result proposed in [9] that is to show the existence of a cluster of nodes forming the *highway system* ([9]). The result is derived based on the independent bond percolation model on the square lattice ([17]), where each edge (bond) of an infinite square grid is *open* with probability  $p$  and *closed* otherwise, independently of all other edges.

Let  $\mathbb{B}(h, p)$  denote a box of side length  $h$  embedded in the square lattice. We call a path consisting of only open edges (bonds) *open path*. For a given  $\kappa > 0$ , we partition the lattice graph  $\mathbb{B}(h, p)$  into horizontal (vertical) rectangle slabs with the horizontal (vertical) width of  $h$  and the vertical (horizontal) width of  $\kappa \log h - \epsilon(h)$ , denoted as  $R_i^h$  ( $R_i^v$ ). Note that we can choose  $\epsilon_h$  as the smallest value such that the number of rectangle slabs  $h/(\kappa \log h - \epsilon(h))$  is an integer. It is obvious that  $\epsilon(h) = o(1)$  as  $h \rightarrow \infty$  [9]. Denote the number of edge-disjoint *open paths* in slab  $R_i^h$  ( $R_i^v$ ) as  $N_i^h$  ( $N_i^v$ ). Let  $N^h = \min_i N_i^h$ ,  $N^v = \min_i N_i^v$ . Then, we have

**Lemma 4:** ([9]) For any constant  $\kappa > 0$  and  $p \in (\frac{5}{6}, 1)$  satisfying  $2 + \kappa \log(6(1-p)) < 0$ , there exists a constant  $\delta(\kappa, p)$  depending on  $\kappa$  and  $p$  such that

$$\lim_{h \rightarrow \infty} \Pr(N^h \geq \delta \log h) = 1, \quad \lim_{h \rightarrow \infty} \Pr(N^v \geq \delta \log h) = 1.$$

**Hierarchical Routing:** In general, the lower bounds on capacity can be obtained by designing the specific multicast

strategy. We propose a class of multicast strategies, denoted as  $\mathfrak{F}$ , with routing scheme  $\mathfrak{F}^r$  and transmission scheduling  $\mathfrak{F}^t$ . Notice that the routing scheme  $\mathfrak{F}^r$  may have a hierarchical structure consisting of  $\tau$  phases that correspond to *sub-routing schemes*  $\mathfrak{F}^{r_1}, \mathfrak{F}^{r_2}, \dots, \mathfrak{F}^{r_\tau}$ , where  $\tau \geq 1$  is a constant and it means that the routing scheme  $\mathfrak{F}^r$  is non-hierarchical when  $\tau = 1$ . Let  $\mathcal{V}(\mathfrak{F}^{r_j})$  represent the set of nodes that are passed through by some multicast sessions based on routing scheme  $\mathfrak{F}^{r_j}$ , where  $j = 1, 2, \dots, \tau$ .

**Definition 4 (Sufficient Region):** For a node  $v_i^j \in \mathcal{V}(\mathfrak{F}^{r_j})$ ,  $1 \leq j \leq \tau$ , and for any multicast session  $\mathcal{M}_{S,k}$ , we call a region  $\mathcal{Q}(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$  *sufficient region* if

$$\Pr(E(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)) \leq \Pr(\tilde{E}(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)) \quad (2)$$

where event  $E(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$  is defined as:  $\mathcal{M}_{S,k}$  is routed through  $v_i^j$  based on the sub-routing scheme  $\mathfrak{F}^{r_j}$  during Phase  $j$ ; Event  $\tilde{E}(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$  is defined as: A poisson node locates in the region  $\mathcal{Q}(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$ .

**Lemma 5 (Throughput during Phase  $j$ ):** In the *dense network*, if each node in  $\mathcal{V}(\mathfrak{F}^{r_j})$  ( $1 \leq j \leq \tau$ ) can sustain a total rate of  $R_j$  by using the transmission scheduling  $\mathfrak{F}^t$ , and for  $k = 1, 2, \dots, n_s$ , there exists a value  $Q_j$  independent of  $k$  and  $i$  such that uniform *w.h.p.*,  $\|\mathcal{Q}(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)\| \leq Q_j$ . Then, when  $Q_j = \Omega(\frac{\log n}{n})$ , the achievable per-session throughput during Phase  $j$  is uniform *w.h.p.*, of order  $\Lambda_j = \Omega(\frac{R_j}{n_s \cdot Q_j})$ .

Please see details in our technical report [18].

Since the bottleneck determines the achievable throughput derived by the whole multicast strategy, we can clearly obtain the following proposition.

**Proposition 1:** The achievable throughput derived by multicast strategy  $\mathfrak{F}$  is  $\Lambda = \min_j \{\Lambda_j\}$ .

## V. MULTICAST SCHEMES

Due to the overwhelming priority to access the spectrum,  $\mathcal{N}_p(n)$  can operate as no  $\mathcal{N}_s(m)$  were present. Hence, we can design the multicast scheme for  $\mathcal{N}_p(n)$  as the single ad hoc network. Designing the strategy for SaN is a challenging issue, since we should maximize the throughput for SaN while ensuring the priority of PaN.

### A. Schemes for Primary Network

We propose two types of multicast strategies for PaN called *percolation strategy* and *connectivity strategy*. The final *achievable throughput* will be derived by using cooperatively two types of strategies according to  $n_d$  and  $m_d$ .

1) **Primary Percolation Strategy  $\mathfrak{F}_p$ :** The strategy  $\mathfrak{F}_p$  is based on two types of paths, *i.e.*, *primary percolation path* and *primary connectivity path*.

**Primary Highway (PH):** We concisely introduce the construction of *primary percolation paths*, *i.e.*, *primary highways* called in [9], and analyze the density of *highways* based on Lemma 4. We firstly partition the region  $\mathcal{A}$  into subsquares with side length  $l_p = \frac{c}{\sqrt{n}}$  as in Fig.1(a), where  $c$  is a constant, and call such subsquares *primary percolation cells* (PPCs). Then there are  $h_p^2$  subsquares, where  $h_p = \lceil \sqrt{n}/\sqrt{2}c \rceil$  (we can adjust the value of  $c$  such that  $\sqrt{n}/\sqrt{2}c$  is an integer).

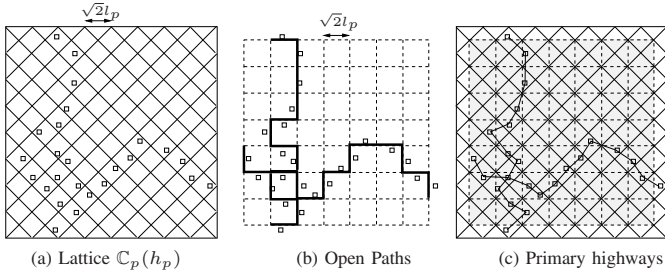


Fig. 1. Construction of highways. (a) An inclined cell is *open* if it contains at least one node. (b) Two *open paths* are depicted using bold square lines. (c) Two *highways* are depicted by the polygonal chains.

Denote the lattice graph produced by inclined lines as  $\mathbb{C}_p(h_p)$  (See Fig.1(a)). Let  $N(c_i)$  denote the number of Poisson points inside cell  $c_i$ . Thus, for all  $i$ , the probability that a square  $c_i$  contains at least one Poisson point ( $N(c_i) \geq 1$ ) is  $p_p \equiv 1 - e^{-c^2}$ . We say a square is open if it contains at least one point, and closed otherwise. Then any square is open with probability  $p_p$ , independently from each other. Then we can map this model into a discrete *bond percolation model* on the square grid. Draw a horizontal edge across half of the squares, and a vertical edge across the others, as shown in Fig.1(b), by which we obtain the lattice graph  $\mathbb{B}(h_p, p_p)$ .

We say a given edge  $e$  in  $\mathbb{B}(h_p, p_p)$  is open if the inclined subquare in  $\mathbb{C}_p(h_p)$ , crossed by  $e$ , is open. Based on an open crossing path connecting the left side of  $\mathbb{B}(h_p, p_p)$  with its right side (or connecting the up side of  $\mathbb{B}(h_p, p_p)$  with its bottom side), depicted in Fig.1(b). Choosing a node from each open cell in  $\mathbb{C}_p(h_p)$  corresponding to each open edge of the open path and connect those nodes, we finally obtain a routing crossing path as in Fig.1(c). We call those nodes *stations* and call those routing crossing paths *highways* (or *percolation paths*). By Lemma 4, we have

**Lemma 6:** For any  $\kappa > 0$  and  $c^2 > \log 6 + 2/\kappa$ , there exists a  $\delta_p$  such that there are uniform *w.h.p.*, at least  $\delta_p \log n$  horizontal (vertical) *highways* contained in all horizontal (vertical) slabs with sides of  $1 \times \frac{\sqrt{2}c}{\sqrt{n}} \cdot (\kappa \log h_p - \epsilon(h_p))$ .

**Mapping from Highways to Slices:** Partition each horizontal (or vertical) slab into  $\delta_p \log n$  horizontal (or vertical) slices of width  $w_p = \frac{\sqrt{2}c \cdot (\kappa \log h_p - \epsilon(h_p))}{\delta_p \cdot \log n} = \Theta(\frac{1}{\sqrt{n}})$ . By Lemma 6, we can assign at least one horizontal (or vertical) *highway* to each slice.

**Primary Connectivity Path (PCP):** Partition the region  $\mathcal{A}$  into subsquares with side length  $\bar{l}_p = \frac{\sqrt{\log n}}{\sqrt{n}}$  to obtain the lattice graph  $\bar{\mathbb{C}}_p(\bar{h}_p)$ . Then there are  $\bar{h}_p^2$  subsquares, where  $\bar{h}_p = \lceil \frac{n}{\log n} \rceil$ , and we call them *connectivity cells*.

**Lemma 7:** All primary *connectivity cells* uniform *w.h.p.*, have at least one primary node.

**Proof:** Let  $N$  denote the number of nodes in a primary cell, then  $N$  follows a Poisson distribution with  $\lambda = na_p = \log n$ , and  $\Pr(N = 0) = e^{-\lambda} = 1/n$ . Thus, the probability that there is at least one cell having no node is upper bounded by  $(n/\log n) \Pr(N = 0) = 1/\log n \rightarrow 0$ , where union bounds and the fact that there are  $\Theta(n/\log n)$  cells are used. ■

Choose a node from each *connectivity cell* and connect them, we finally obtain the *connectivity path* (CPs). We call

---

### Algorithm 2 Primary Percolation Routing $\mathfrak{F}_p^r$

---

**Input:** The multicast session  $\mathcal{M}_{S,k}$  and  $EST(\mathcal{U}_{S,k})$ .

**Output:** A multicast routing tree  $\mathcal{T}(\mathcal{U}_{S,k})$ .

- 1: **for** each link  $u_i \rightarrow u_j$  of  $EST(\mathcal{U}_{S,k})$  **do**
  - 2:  $u_i$  drains the packets into the specific horizontal *highway* along the specific *connectivity path*.
  - 3: Packets are carried along the specific horizontal *highway*.
  - 4: Packets are carried along the specific vertical *highway*.
  - 5: Packets are delivered to  $u_j$  from the specific vertical *highway* along the specific *connectivity path*.
  - 6: **end for**
  - 7: Considering the resulted routing graph, we merge the same edges (hops), remove those circles which have no impact on the connectivity of the communications for  $EST(\mathcal{U}_{S,k})$ . Finally, we obtain  $\mathcal{T}(\mathcal{U}_{S,k})$ .
- 

those nodes *connectivity stations*.

**Primary Multicast Routing:** Considering the multicast session  $\mathcal{M}_{S,k}$ ,  $1 \leq k \leq n_s$  and its *spanning set of nodes*  $\mathcal{U}_{S,k}$ . We firstly construct the Euclidean spanning tree (EST)  $\mathcal{U}_{S,k}$  by Algorithm 1. Based on  $EST(\mathcal{U}_{S,k})$ , we propose Algorithm 2 to construct the multicast routing tree  $\mathcal{T}(\mathcal{U}_{S,k})$ . The routing scheme is with hierarchical structure consisting of the *highways phase*  $\mathfrak{F}_p^{r1}$  (including Steps in Line 3 and Line 4 of Algorithm 2) and *CPs phase*  $\mathfrak{F}_p^{r2}$  (including Steps in Line 2 and Line 5 of Algorithm 2).

**Primary Transmissions Scheduling:** We use two independent 9-TDMA schemes to schedule the highways and CPs, based on lattice graph  $\mathbb{C}_p(h_p)$  and  $\bar{\mathbb{C}}_p(\bar{h}_p)$  respectively. To be specific, we divide a scheduling period into two sub-periods with same size called *highway transmission scheduling* (HTS)  $\mathfrak{F}_p^{t1}$  and *CP transmission scheduling* (CPTS)  $\mathfrak{F}_p^{t2}$ . The two scheduling phases correspond to the two phases of routing, *i.e.*, *highways phase*  $\mathfrak{F}_p^{r1}$  and *CPs phase*  $\mathfrak{F}_p^{r2}$ . Furthermore, for each transmission in HTS phase, the transmitter transmits with power  $P \cdot (l_p)^\alpha$ , and in CPTS phase, the transmitter transmits with power  $P \cdot (\bar{l}_p)^\alpha$ .

---

### Algorithm 3 Primary Connectivity Routing $\bar{\mathfrak{F}}_p^r$

---

**Input:** The multicast session  $\mathcal{M}_{S,k}$  and  $EST(\mathcal{U}_{S,k})$ .

**Output:** A multicast routing tree  $\mathcal{T}(\mathcal{U}_{S,k})$ .

- 1: **for** each link  $u_i \rightarrow u_j$  of  $EST(\mathcal{U}_{S,k})$  **do**
  - 2: Denote the intersection point of the horizontal line through  $u_i$  and the vertical line through  $u_j$  as  $p_{i,j}$ .
  - 3: Packets are carried along a specific horizontal CP from  $u_i$  to the *connectivity station*  $u_{i,j}$  that locates in the *connectivity cell* containing point  $p_{i,j}$ .
  - 4: Packets are carried along the specific vertical CP passing through  $u_{i,j}$  to  $u_j$ .
  - 5: **end for**
  - 6: Use the similar way to Line 7 of Algorithm 2 to obtain the final multicast routing tree  $\mathcal{T}(\mathcal{U}_{S,k})$ .
-

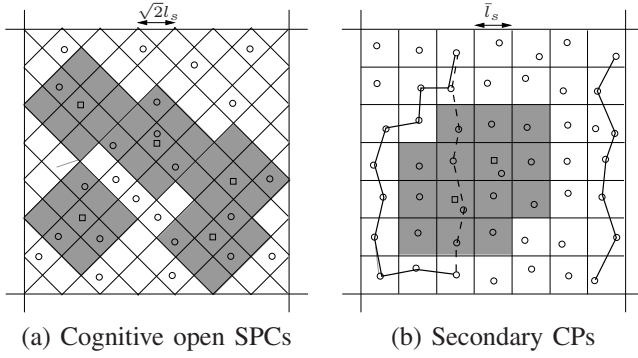


Fig. 2. (a) The shaded regions are the PPRs. The small square nodes at the center of PPRs represent the primary nodes, and the small circle nodes represent the secondary nodes. Those *secondary percolation cells* that contain at least one secondary nodes and are not shaded are *cognitive open*. (b) The shaded region represents the union of the CPRs.

2) *Primary Connectivity Strategy*  $\tilde{\mathfrak{F}}_p$ : Unlike the percolation strategy, the connectivity strategy only operates on the basis of CPs.

**Primary Multicast Routing:** We adopt Manhattan routing [5] based on CPs. (See detail in Algorithm 3)

**Primary Transmissions Scheduling:** For this case, since there are only links in CPs, we just only use CPTS.

### B. Schemes for Secondary Network

The main challenge is to design a protocol for  $\mathcal{N}_s(m)$  to achieve optimal throughput, *i.e.*, the upper bound of the capacity. The key technique is to set *preservation regions* [19].

1) *Secondary Percolation Strategy*  $\tilde{\mathfrak{F}}_s$ : Like in  $\mathcal{N}_p(n)$ , there are two types of links in terms of hop length in  $\mathcal{N}_s(m)$ . The first type are the links along *secondary highways* with hop length  $O(\frac{1}{\sqrt{m}})$ , the second type are the links along *secondary connectivity paths* with hop length  $O(\sqrt{\log m/m})$ . To construct the routing consisting of the above two types of links, as in  $\mathcal{N}_p(n)$ , we partition the region  $\mathcal{A}$  into subsquares with side length  $l_s = c/\sqrt{m}$  to obtain the lattice graph  $\mathbb{C}_s(h_s)$  with  $h_s = \lceil \frac{\sqrt{m}}{\sqrt{2c}} \rceil$ , and we call the subsquares *secondary percolation cells* (SPCs). Similarly, we divide  $\mathcal{A}$  into subsquares with side length  $\bar{l}_s = \frac{\sqrt{\log m}}{\sqrt{m}}$  to obtain the *secondary connectivity cells* (SCCs) and lattice graph  $\tilde{\mathbb{C}}_s(\bar{h}_s)$  with  $\bar{h}_s = \lceil \frac{\sqrt{m}}{\sqrt{\log m}} \rceil$ .

Unlike in  $\mathcal{N}_p(n)$ , we must ensure that the secondary transmitters are not too close to the primary receivers operating simultaneously, otherwise, it may produce devastating interference. Hence, we set a *preservation region* for each primary nodes which the routing of communications in  $\mathcal{N}_s(m)$  can not go through.

**Preservation Region (PR):** Based on lattice graphs  $\mathbb{C}_s(h_s)$  and  $\tilde{\mathbb{C}}_s(\bar{h}_s)$ , we define two types of *preservation regions*. The first is *percolation preservation region* (PPR) that consists of nine SPCs, with a primary node at the center cell. The second is *connectivity preservation region* (CPR) that consists of nine SCCs, with a primary node at the center cell.

**Secondary Highway (SH):** We construct the *secondary highways* based on lattice graph  $\mathbb{C}_s(h_s)$ . The difference from primary highways is that we must ensure the secondary

highways not to pass through any PPRs. Thus, we should modify the definition of *open* for cells in  $\mathbb{C}_s(h_s)$ . We say a secondary percolation cell (SPC) is *cognitive open* if it is nonempty and does not belong to any PPRs. See illustration in Fig. 2(a). Then, we have the following lemma.

**Lemma 8:** When  $n = o(m)$ , a SPC in  $\mathbb{C}_s(h_s)$  is *cognitive open* with probability  $p_s$ , where  $p_s \rightarrow p_p$  as  $n \rightarrow \infty$ .

*Proof:* According to the definition of *cognitive open*, we have  $p_s = (1 - e^{-c^2}) \cdot e^{-\frac{9c^2n}{m}}$ . Combining with the condition  $\lim_{n \rightarrow \infty} \frac{n}{m} = 0$ , we complete the proof. ■

By the similar procedure from  $\mathbb{C}_p(h_p)$  to  $\mathbb{B}(h_p, p_p)$ , we can construct the lattice graph  $\mathbb{B}(h_s, p_s)$  that serves as the basic frame of bond percolation model. By Lemma 4 and Lemma 6, we have

**Lemma 9:** When  $n = o(m)$ , for any  $\kappa > 0$  and  $c^2 > \log 6 + 2/\kappa$ , there exists a constant  $\delta_s$  such that there are uniform *w.h.p.*, at least  $\delta_s \log m$  horizontal (vertical) *secondary highways* in each horizontal (vertical) slab with sides of  $1 \times \frac{\sqrt{2c}}{\sqrt{m}} (\kappa \log h_s - \epsilon(h_s))$ .

**Mapping from Highways to Slices:** Similar to PaN, we can partition each horizontal (or vertical) slab into  $\delta_s \log m$  horizontal (or vertical) slices of width  $w_s = \Theta(\frac{1}{\sqrt{m}})$ . According to Lemma 6, we can assign at least one horizontal (or vertical) *highway* to each horizontal (or vertical) slice.

**Secondary Connectivity Path (SCP):** We can build the SCPs based on the lattice graph  $\tilde{\mathbb{C}}_s(\bar{h}_s)$ . Similar to Lemma 7, we can obtain Lemma 10.

**Lemma 10:** All secondary *connectivity cells* (SCCs) uniform *w.h.p.*, have at least one secondary node.

Thus, we can choose a node from each SCC and connect them to obtain CPs-like paths. Notice that the difference between the primary *connectivity-paths* and the secondary ones is that we must ensure the SCPs not to pass through any CPR. Thus, we construct the SCPs by modifying the CPs-like paths in the following operations: When a CPs-like path collides with a CPR, the path detours the CPR along its boundary SCC, see Fig.2(b). We call all joint nodes on the SCPs *secondary connectivity stations* (SCSs).

**Served Set:** Unlike  $\mathcal{N}_p(n)$ , there are possibly some secondary cells (SPCs or SCCs) not to be served because they are covered by *preservation regions* or by the closed regions encompassed with *preservation regions* (PPRs or CPRs) clusters. We call those cells *non-served cells*, and define the set of all secondary nodes contained in the *non-served cells* as  $\bar{\mathcal{V}}_s(m)$ . Denote the set of all secondary sources for multicast sessions in  $\mathcal{N}_s(m)$  as  $\mathcal{S}$ . (For succinctness, we denote both sets of sources of multicast sessions in  $\mathcal{N}_p(n)$  and  $\mathcal{N}_s(m)$  as  $\mathcal{S}$  when having no confusion, but we should learn that they are really different sets.)

Based on the sets  $\bar{\mathcal{V}}_s(m)$  and  $\mathcal{S}$ , we define the *served set* of multicast sessions. The definition of *served set* can be divided into two regions depending on  $m_d$  and  $m_s$ .

**Definition 5 (Served Set):** The *served set*, denoted as  $\mathcal{S}'$ , is a subset of  $\mathcal{S}$ , and

- 1) when  $m_d = \omega(\log m_s)$ ,  
define  $\mathcal{S}' := \mathcal{S} - \mathcal{S} \cap \bar{\mathcal{V}}_s(m)$ ;

- 2) when  $m_d = O(\log m_s)$ ,  
define  $\mathcal{S}' := \{v_{S,i} | \mathcal{U}_{S,i} \cap \bar{\mathcal{V}}_s(m) = \emptyset\}$ .

In SaN, for each multicast session  $\mathcal{M}_{S,i}$  with source node  $v_{S,i} \in \mathcal{S}'$ , define a set  $\mathcal{U}'_{S,i} = \{v_{S,i}\} \cup \mathcal{D}'_{S,i}$ , where  $\mathcal{D}'_{S,i} = \mathcal{D}_{S,i} - \mathcal{D}_{S,i} \cap \bar{\mathcal{V}}_s(m)$ . In Section VI-A, we will prove that under Assumption B,  $|\mathcal{S}'| \rightarrow |\mathcal{S}| = m_s$ , and for all  $v_{S,i} \in \mathcal{S}'$ , uniform *w.h.p.*,  $|\mathcal{D}'_{S,i}| \rightarrow |\mathcal{D}_{S,i}| = m_d$ , as  $n, m \rightarrow \infty$ .

**Secondary Multicast Routing:** Based on every  $\mathcal{U}'_{S,i}$  for  $v_{S,i} \in \mathcal{S}'$ , we can build  $EST(\mathcal{U}'_{S,i})$  by Algorithm 1. The detailed routing scheme is presented in Algorithm 4.

---

**Algorithm 4** Secondary Percolation Routing  $\tilde{\mathfrak{F}}_s^r$

---

**Input:** The multicast session  $\mathcal{M}_{S,k}$  and  $EST(\mathcal{U}'_{S,k})$ .

**Output:** A multicast routing tree  $\mathcal{T}(\mathcal{U}'_{S,k})$ .

- 1: **for** each link  $u_i \rightarrow u_j$  of  $EST(\mathcal{U}'_{S,k})$  **do**
  - 2:  $u_i$  drains the packets into the specific horizontal SH along the specific SCP.
  - 3: Packets are carried along the horizontal SH.
  - 4: Packets are carried along the vertical SH.
  - 5: Packets are delivered to  $u_j$  from the vertical SH along the specific SCP.
  - 6: **end for**
  - 7: Use the similar way to Line 7 of Algorithm 2 to obtain the final multicast routing tree  $\mathcal{T}(\mathcal{U}'_{S,k})$ .
- 

**Secondary Transmissions Scheduling:** To be synchronous with  $\mathcal{N}_p(n)$ , in  $\mathcal{N}_s(m)$ , the *secondary highways* in phase  $\tilde{\mathfrak{F}}_s^{t_1}$  and SCPs in phase  $\tilde{\mathfrak{F}}_s^{t_2}$  should be independently scheduled, where the length of each time slot in  $\tilde{\mathfrak{F}}_s^{t_i}$  (for  $i = 1, 2$ ) equals to that of  $\tilde{\mathfrak{F}}_p^{t_i}$  but the scheduling periods of  $\tilde{\mathfrak{F}}_s^{t_i}$  is 3 times of that of  $\tilde{\mathfrak{F}}_p^{t_i}$ . That is, we adopt two independent 27-TDMA schemes for *secondary highways* and SCPs, in which each secondary cell is scheduled for 3 continuous time slots in a scheduling period (27 time slots). Furthermore, for each transmission in phase  $\tilde{\mathfrak{F}}_s^{t_1}$ , the transmitter transmits with power  $P \cdot (l_s)^\alpha$ , and in phase  $\tilde{\mathfrak{F}}_s^{t_2}$ , the transmitter transmits with power  $P \cdot (\bar{l}_s)^\alpha$ .

---

**Algorithm 5** Secondary Connectivity Routing  $\tilde{\mathfrak{F}}_s^r$

---

**Input:** The multicast session  $\mathcal{M}_{S,k}$  and  $EST(\mathcal{U}'_{S,k})$ .

**Output:** A multicast routing tree  $\mathcal{T}(\mathcal{U}'_{S,k})$ .

- 1: **for** each link  $u_i \rightarrow u_j$  of  $EST(\mathcal{U}'_{S,k})$  **do**
  - 2: Denote the intersection point of the horizontal line through  $u_i$  and the vertical line through  $u_j$  as  $p_{i,j}$ .
  - 3: Packets are carried along a specific horizontal SCP from  $u_i$  to the *connectivity station*  $u_{i,j}$  that locates in the SCC containing point  $p_{i,j}$ .
  - 4: Packets are carried along the specific vertical SCP passing through  $u_{i,j}$  to  $u_j$ .
  - 5: **end for**
  - 6: Use the similar way to Line 7 of Algorithm 2 to obtain the final multicast routing tree  $\mathcal{T}(\mathcal{U}'_{S,k})$ .
- 

2) *Secondary Connectivity Strategy:* We use Manhattan Routing [5] based on SCPs system (Algorithm 5), and for

this case, we only use the scheduling  $\tilde{\mathfrak{F}}_s^{t_2}$  since there are only links along SCPs to be scheduled.

### C. Strategy Matchings

In terms of  $n_d$  and  $m_d$ , we will choose one better primary multicast strategy from  $\tilde{\mathfrak{F}}_p$  and  $\tilde{\mathfrak{F}}_p$  for PaN, and determine one secondary multicast strategy from  $\tilde{\mathfrak{F}}_s$  and  $\tilde{\mathfrak{F}}_s$  to match the selected primary multicast strategy.

STRATEGY ALTERNATIVES:

- When  $n_d \in [1, \frac{n}{(\log n)^2}]$ , we adopt  $\tilde{\mathfrak{F}}_p$  for PaN.
  - When  $m_d \in [1, \frac{m}{(\log m)^2}]$ , we adopt  $\tilde{\mathfrak{F}}_s$  for SaN.
  - When  $m_d \in [\frac{m}{(\log m)^2}, m]$ , we adopt  $\tilde{\mathfrak{F}}_s$  for SaN.
- When  $n_d \in [\frac{n}{(\log n)^2}, n]$ , we adopt  $\tilde{\mathfrak{F}}_p$  for PaN.
  - We always adopt  $\tilde{\mathfrak{F}}_s$  for SaN.

## VI. THROUGHPUT CAPACITY ANALYSIS

Since the definition of capacity (throughput) in [5] can be regarded as the special cases of Definition 3, then we can derive the *achievable throughput* for PaN following the formal definition in [5], as well as following Definition 3. For SaN, we consider the *achievable throughput* based on Definition 3, and we focus on the *served set* of sessions (Definition 5).

### A. Analysis of Served Set

In this subsection, we aim to analyze the *served set* and mainly prove Lemma 11. We will discuss separately the issue for two cases when  $m_d = \omega(\log m_s)$  and when  $m_d = O(\log m_s)$ .

*Lemma 11:* The cardinality of *served set* for  $\mathcal{N}_s(m)$  defined in Definition 5, *i.e.*,  $|\mathcal{S}'|$ , goes to  $|\mathcal{S}| = m_s$ , and for all  $v_{S,i} \in \mathcal{S}'$ , uniform *w.h.p.*,  $|\mathcal{D}'_{S,i}| \rightarrow |\mathcal{D}_{S,i}| = m_d$ , as  $n, m \rightarrow \infty$ .

1) *Total Area of Non-served Cells:* Based on Lemma 3, we propose the following lemma to show that the sizes of all clusters of preservation regions are bounded.

*Lemma 12:* When  $n < \frac{p_c}{8} \cdot \frac{m}{\log m}$ , any cluster of *preservation regions w.h.p.*, has at most a constant  $\mu$  preservation regions, where  $p_c$  is the *critical percolation threshold* of Poisson Boolean model in  $\mathbb{R}^2$ ,  $m$  and  $n$  are the density of primary and secondary networks respectively.

*Proof:* First, we consider the Poisson Boolean model  $\mathcal{B}(\lambda, r)$ , where  $r = 2\sqrt{2} \max\{l_s, \bar{l}_s\} = 2\sqrt{2} \cdot \frac{\sqrt{\log m}}{\sqrt{m}}$  and  $\lambda = n$ . Since the *associated graphs*  $\mathcal{G}(\lambda_0, r_0) = \mathcal{G}(\lambda, r)$  when  $\lambda_0 \cdot r_0^2 = \lambda \cdot r^2$ . The Poisson Boolean model  $\mathcal{B}(\lambda, r)$  is equivalent to  $\mathcal{B}(\lambda_0, r_0)$  in terms of the connectivity, where  $r_0 = 1$  and  $\lambda_0 = 8n \cdot \frac{\log m}{m}$ . Because  $n < \frac{p_c}{8} \cdot \frac{m}{\log m}$ , we have  $\lambda \cdot r^2 < p_c$ . By Lemma 3, the size of any cluster is at most a constant  $\mu$ . Since a disk of radius  $r/2$  contains a square preservation region, it is also true for all clusters of preservation regions. ■

*Lemma 13:* The sum area of the *non-served cells*, denoted as  $S(m)$ , is at most  $9 \cdot \mu \cdot n \cdot \frac{\log m}{m}$ , where the constant  $\mu$  is the maximum size of the clusters of *preservation regions*.

*Proof:* For any cluster with size  $\mu_i$ , it is true that there exists a square of side length  $3\mu_i \bar{l}_s$  containing completely all  $\mu_i$  *preservation regions* and the *non-served cells* encompassed

by them. So the sum area of the *non-served cells* produced by  $\mu_i$  *preservation regions*  $S(m, \mu_i) \leq 9 \cdot \mu_i^2 \cdot \frac{\log m}{m}$ . Then the sum area of the *non-served cells*  $S(m) \leq S'_{max}$ , where  $S_{max}$  is the optimum solution of the optimization problem:

$$\begin{cases} \max S = 9 \cdot \frac{\log m}{m} \cdot \sum_{i=1}^n \mu_i^2 \\ \text{s.t.} \quad \sum_{i=1}^n \mu_i = n, \quad 1 \leq \mu_i \leq \mu, \quad i = 1, 2, \dots, n. \end{cases}$$

It is easy to derive that  $S_{max} = \frac{n}{\mu} \cdot \mu^2 \cdot 9 \cdot \frac{\log m}{m} = 9 \cdot \mu \cdot n \cdot \frac{\log m}{m}$ , which completes the proof. ■

2) *When  $m_d = \omega(\log m_s)$ :* For this case, according to Definition 5, we have the *served set*  $\mathcal{S}' = \mathcal{S} - \mathcal{S} \cap \bar{\mathcal{V}}_s(m)$ . Then, we get  $|\mathcal{S}'| = |\mathcal{S}| - |\mathcal{S} \cap \bar{\mathcal{V}}_s(m)|$ . Notice that we need the condition that  $n = o(m/\log m)$  made in Assumption B.

*Lemma 14:* With high probability,  $|\mathcal{S} \cap \bar{\mathcal{V}}_s(m)| \leq \bar{\rho}_s(m)m_s$ , where  $\bar{\rho}_s(m) \rightarrow 0$ , as  $m \rightarrow \infty$ .

*Proof:* Define a random variable  $\bar{\xi}^s = |\mathcal{S} \cap \bar{\mathcal{V}}_s(m)|$ . Then, it follows a poisson distribution with parameter  $\bar{\lambda}^s \leq m_s \cdot S_{max} = 9 \cdot \mu \cdot m_s \cdot n \cdot \frac{\log m}{m}$  by Lemma 13. According to Lemma 23, we get  $\Pr(\bar{\xi}^s \geq 18\mu \cdot m_s \cdot n \cdot \log m/m) \leq (e/4)^{9\mu \cdot m_s \cdot n \cdot \frac{\log m}{m}} \rightarrow 0$ . From  $n = o(\frac{m}{\log m})$  (Assumption B), we can obtain  $\bar{\rho}_s(m) = o(1)$ . ■

Next, to derive the uniform upper bound of  $|\mathcal{D}_{S,i}| - |\mathcal{D}'_{S,i}|$  for all  $v_{S,i} \in \mathcal{S}'$ , we firstly consider  $|\mathcal{D}_{S,i} - \mathcal{D}'_{S,i}|$ .

*Lemma 15:* For all  $v_{S,i} \in \mathcal{S}'$ , uniform *w.h.p.*,  $|\mathcal{D}_{S,i} - \mathcal{D}'_{S,i}| \leq \bar{\rho}_d(m) \cdot m_d$ , where  $\bar{\rho}_d(m) = o(1)$ .

*Proof:* For each  $v_{S,i} \in \mathcal{S}'$ , define a random variable  $\bar{\xi}_{S,i}^d = |\mathcal{D}_{S,i} - \mathcal{D}'_{S,i}|$ . Then, according to Lemma 13,  $\bar{\xi}_{S,i}^d$  follows a poisson distribution with mean of at most  $9 \cdot \mu \cdot m_d \cdot n \cdot \frac{\log m}{m}$ . We consider separately two cases of  $m_d \cdot n \cdot \frac{\log m}{m} = \Omega(\log m_s)$  and  $m_d \cdot n \cdot \frac{\log m}{m} = O(\log m_s)$ . Then, according to Lemma 23 (tails of Chernoff bounds) and union bounds, we can obtain

$$\bar{\rho}_d(m) = \begin{cases} O(n \cdot \frac{\log m}{m}) & \text{when } m_d \cdot n \cdot \frac{\log m}{m} = \Omega(\log m_s) \\ O(\frac{\log m_s}{m_d}) & \text{when } m_d \cdot n \cdot \frac{\log m}{m} = O(\log m_s) \end{cases}$$

Thus, we can get  $\bar{\rho}_d(m) = o(1)$  when  $m_d = \omega(\log m_s)$ . ■

Combing Lemma 14 and Lemma 15, we can obtain Lemma 11 for the case when  $m_d = \omega(\log m_s)$ .

3) *When  $m_d = O(\log m_s)$ :* For this case, according to Definition 5, we have the *served set*  $\mathcal{S}' = \{v_{S,i} | (v_{S,i} \in \mathcal{S}) \wedge (\mathcal{U}_{S,i} \cap \bar{\mathcal{V}}_s(m) = \emptyset)\}$ . Unlike in the case when  $m_d = \omega(\log m_s)$ , we need a new condition that  $n = o(\frac{m}{m_d \cdot \log m})$  as in Assumption B. Firstly, we propose Lemma 16.

*Lemma 16:* For all  $v_{S,i} \in \mathcal{S}'$ ,  $\mathcal{D}'_{S,i} = \mathcal{D}_{S,i}$ .

*Proof:* According to the definition of  $\mathcal{S}'$ , for all  $v_{S,i} \in \mathcal{S}'$ ,  $\mathcal{U}_{S,i} \cap \bar{\mathcal{V}}_s(m) = \emptyset$ . Since  $\mathcal{D}_{S,i} \subseteq \mathcal{U}_{S,i}$ , then  $\mathcal{D}_{S,i} \cap \bar{\mathcal{V}}_s(m) = \emptyset$ . Hence,  $\mathcal{D}'_{S,i} = \mathcal{D}_{S,i} - \mathcal{D}_{S,i} \cap \bar{\mathcal{V}}_s(m) = \mathcal{D}_{S,i}$ . ■

Next, we consider the cardinality of  $\mathcal{S}'$ . Above all, we have that  $|\mathcal{S}'| \geq |\mathcal{S}| - |\mathcal{S} - \mathcal{S}'|$ .

*Lemma 17:* With high probability,  $|\mathcal{S} - \mathcal{S}'| \leq \bar{\rho}_s(m) \cdot m_s$ , where  $\bar{\rho}_s(m) = o(1)$ .

*Proof:* Define a random variable  $\bar{\xi}^s = |\mathcal{S} - \mathcal{S}'|$ . Then by Lemma 13,  $\bar{\xi}^s$  follows a Poisson distribution with the mean of  $\bar{\lambda}^s \leq m_s \cdot (m_d + 1) \cdot 9 \cdot \mu \cdot n \cdot \log m/m$ . By Chernoff bounds in Lemma 23, we get  $\Pr(\bar{\lambda}^s \geq 18m_s \cdot (m_d + 1) \cdot \mu \cdot$

$n \cdot \log m/m) \leq (\frac{e}{4})^{9m_s \cdot (m_d + 1) \cdot \mu \cdot n \cdot \log m/m} \rightarrow 0$ , as  $m \rightarrow \infty$ . By  $n = o(\frac{m}{m_d \log m})$ , we have  $\bar{\rho}_s(m) \leq 18m_s \cdot (m_d + 1) \cdot \mu \cdot n \cdot \log m/m \rightarrow 0$ . ■

Combining Lemma 16 and Lemma 17, we can obtain Lemma 11 for the case when  $m_d = O(\log m_s)$ .

4) *Role of Served Set:* Now, we discuss what role the *served set*, *i.e.*,  $\mathcal{S}'$ , will play. According to the routing schemes presented in Section V-B, only the sessions whose sources belong to the *served set*  $\mathcal{S}'$  are considered, and for each considered session  $\mathcal{M}_{S,i}$ , only the destinations belong to  $\mathcal{D}'_{S,i}$  are considered. Thus, by Lemma 11, we can state that the per-session throughput for SaN is achieved of  $\lambda$  bit/s if we can prove that, in  $\mathcal{N}_s(m)$ , for each multicast session  $\mathcal{M}_{S,i}$  with source  $v_{S,i} \in \mathcal{S}'$ , uniform *w.h.p.*, data can be delivered to all destinations in  $\mathcal{D}'_{S,i}$  at rate of  $\lambda$  bit/s. Here, obviously,  $\mathcal{S}'$  can act as  $\mathcal{S}'(1, 1)$  in Definition 1.

## B. Multicast Throughput Analysis

To facilitate the expression, for  $\mathcal{N}_p(n)$ , we define sets of a sequence of directed edges  $\Pi_{S,k} = \{e_{ij} | e_{ij} = u_i u_j \in EST(\mathcal{U}_{S,k})\}$ , where  $k = 1, 2, \dots, n_s$ ; and for  $\mathcal{N}_s(m)$ , we define the sets  $\Pi_{S,k} = \{e_{ij} | e_{ij} = u_i u_j \in EST(\mathcal{U}'_{S,k})\}$  for  $v_{S,k} \in \mathcal{S}'$  (served set, defined in Section V-B). Recall that Event  $E(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$  in Definition 4, *i.e.*, multicast session  $\mathcal{M}_{S,k}$  is routed through  $v_i^j$  based on the sub-routing scheme  $\mathfrak{F}^{r_j}$  in Phase  $j$ . In the following analysis, to be succinct, we denote  $\Pi_{S,k}$ ,  $\mathcal{U}_{S,k}$  and  $\mathcal{U}'_{S,k}$  as  $\Pi_k$ ,  $\mathcal{U}_k$  and  $\mathcal{U}'_k$ , denote  $E(\mathfrak{F}^{r_j}, \mathcal{M}_{S,k}, v_i^j)$  as  $E(j, k, i)$ .

1) *When  $n_d \in [1, \frac{n}{(\log n)^2}]$  and  $m_d \in [1, \frac{m}{(\log m)^2}]$ :* For this case, we implement  $\mathfrak{F}_p$  for PaN and  $\mathfrak{F}_s$  for SaN, and we analyze the throughput achieved by  $\mathfrak{F}_p$  with  $\mathfrak{F}_s$  according to Lemma 5. First, we consider phase  $\mathfrak{F}_p^{r_1}$  (or  $\mathfrak{F}_s^{t_1}$ ).

*Lemma 18:* During phase  $\mathfrak{F}_p^{t_1}$  (or phase  $\mathfrak{F}_s^{t_1}$ ), the total rate along *highways* (including primary *highways* in  $\mathcal{N}_p(n)$  and secondary *highways* in  $\mathcal{N}_s(m)$ ) is achieved of order  $\Omega(1)$ .

Please see the proof in Appendix.

For the multicast throughput during *highways* phases, according to Lemma 5, we have the following result.

*Lemma 19:* During phase  $\mathfrak{F}_p^{r_1}$  (or phase  $\mathfrak{F}_s^{r_1}$ ), P-MPMT (or S-MPMT) is achieved of order  $\Omega(\frac{1}{\sqrt{n_d}})$  (or  $\Omega(\frac{1}{\sqrt{m_d}})$ ).

Next, we analyze the throughput in phase  $\mathfrak{F}_p^{r_2}$  (or  $\mathfrak{F}_s^{r_2}$ ).

*Lemma 20:* During phase  $\mathfrak{F}_p^{r_2}$  (or  $\mathfrak{F}_s^{r_2}$ ), P-MPMT (or S-MPMT) is achieved of order  $\Omega(\frac{1}{n_d} \cdot (\log n)^{-\frac{3}{2}})$  (or  $\Omega(\frac{1}{m_d} \cdot (\log m)^{-\frac{3}{2}})$ ).

Combining Lemma 19 and Lemma 20, we get Theorem 1.

2) *When  $n_d \in [1, \frac{n}{(\log n)^2}]$  and  $m_d \in [\frac{m}{(\log m)^2}, m]$ :* For this case, we implement  $\mathfrak{F}_p$  for PaN and  $\mathfrak{F}_s$  for SaN. In phase  $\mathfrak{F}_p^{r_1}$ , we can set transmissions in SaN be idle, which can not have impact on throughput in order sense. Then, it is obviously true that the throughput for PaN during phase  $\mathfrak{F}_p^{r_1}$  for this case is no less than that for the previous case. Furthermore, during phase  $\mathfrak{F}_p^{r_2}$ , we implement strategy  $\mathfrak{F}_s$  for SaN. Using a similar method in Lemma 20, we can get that the interference produced by  $\mathfrak{F}_s$  to transmissions of PaN is no more than our estimation (in Lemma 20) of that produced by  $\mathfrak{F}_s^{t_1}$ . Summing the analysis above, we can easily obtain



*Lemma 21:* During phase  $\mathfrak{F}_p^{r_1}$  (or  $\mathfrak{F}_p^{r_2}$ ), P-MPMT can be achieved of order  $\Omega(\frac{1}{\sqrt{nn_d}})$  (or  $\Omega(\frac{1}{n_d} \cdot (\log n)^{-\frac{3}{2}})$ ).

By Lemma 21, it is obviously true that P-MPMT is achieved of order  $T_1(n, n_d)$  when  $n_d \in [1, \frac{n}{(\log n)^2}]$  and  $m_d \in [\frac{m}{(\log m)^2}, m]$ . Next, we consider the throughput for SaN.

*Lemma 22:* S-MPMT can be achieved of order  $T_2(m, m_d)$ , where the function  $T_2(x, y)$  is defined in Section III.

Combining Lemma 21 and Lemma 22, we get Theorem 2.

3) When  $n_d \in [\frac{n}{(\log n)^2}, n]$ : For this case, we adopt  $\mathfrak{F}_p$  for PaN and adopt  $\mathfrak{F}_s$  for SaN. In a similar way to Lemma 22, we can obtain Theorem 3 due to the non-hierarchical structure of strategies  $\mathfrak{F}_p$  and  $\mathfrak{F}_s$ .

## VII. LITERATURE REVIEW

*Capacity for Single Ad hoc Networks:* In [20], Gupta and Kumar showed for *unicast* sessions, each source-destination (S-D) pair can achieve a rate of order  $1/\sqrt{n \log n}$  in *random dense networks*. Keshavarz-Haddad, et al. [21] showed the broadcast per-session capacity is only of order  $\Theta(1/n)$ . Li *et al.* [5] study the multicast capacity of pure ad hoc networks under protocol model. Shakkottai *et al.* designed a novel routing scheme, called *comb scheme*. Notice that all above results are derived under the *protocol model* or *physical model* [20]. For the *Gaussian Channel* model that captures better the property of physical layer in wireless networks, some representative works recently have been carried out. Franceschetti *et al.* [9] showed that the unicast throughput of  $\Omega(1/\sqrt{n})$  is achievable in random networks using *percolation model*. Zheng [13] pointed out that using multihop relay, the broadcast per-session capacity is  $\Theta(\frac{1}{n} \cdot (\log n)^{-\frac{3}{2}})$  in *random extended networks*. Li *et al.* [10] address the multicast throughput under Gaussian channel model. In [8], Wang *et al.* improve the threshold value of  $n_d$  mentioned in [10]. For *dense networks*, by a technique called *arena*, Keshavarz-Haddad *et al.* ([6], [14]) propose an upper bound on multicast capacity.

*Capacity for Cognitive Networks:* The research on capacity scaling laws for cognitive networks is a relatively new topic. In [3], the primary source-destination and cognitive S-D pairs are modeled as an interference channel with asymmetric side information. In [22] the communication opportunities are modeled as a two-switch channel. Note that both work [3], [22] only considered the *single-user* case in which a single primary and a single cognitive S-D pairs share the spectrum. Recently, a *single-hop* cognitive network was considered in [23], where multiple secondary S-D pairs transmit in the presence of a single primary S-D pair. For multi-hop and multiple users case, the most related and enlightening work is done by Jeon *et al.* [7]. They focused on unicast sessions. Moreover, they only adopted the routing scheme similar to *connectivity strategy*, which results the derived throughput is *not* optimal under *Gaussian Channel* model.

## VIII. CONCLUSION AND FUTURE WORK

We study the multicast capacity of cognitive networks. We show that under some conditions, our designed strategies can ensure that both PaN and SaN achieve the asymptotic capacity

as they are stand-alone. As a future work, we would like to extend our results to the case when the *primary network* is an infrastructure-supported network or hybrid network [24], [25]. As for ordinary ad hoc networks, an interesting and significant issue is to present the new tight upper bounds or design new algorithms to close the gap between the lower bounds and upper bounds.

## ACKNOWLEDGMENTS

The research of authors are partially supported by NSF CNS-0832120, National Natural Science Foundation of China under Grant No. 60534060, No. 90718012, No. 90818023, No. 60828003, the National High Technology Research and Development Program of China (863 Program) under Grants No. 2007AA01Z180, No. 2007AA01Z136, No. 2007AA01Z149, Shanghai International Cooperation Project under Grant No. 075107005, the Natural Science Foundation of Zhejiang Province under Grant No. Z1080979, National Basic Research Program of China (973 Program) under grant No. 2010CB328100, No. 2006CB30300, Hong Kong RGC HKUST 6169/07, the RGC under Grant HKBU 2104/06E, and CERG under Grant PolyU-5232/07E.

## REFERENCES

- [1] I. F. Akyildiz, W.-Y. Lee, M. C. Vuran, and S. Mohanty, "Next generation/dynamic spectrum access/cognitive radio wireless networks: A survey," *Computer Networks*, vol. 50, pp. 2127–2159, 2006.
- [2] F. C. C. S. P. T. Force, "Report of the spectrum efficiency working group," FCC, Tech. Rep., Nov. 2002.
- [3] N. Devroye, P. Mitran, and V. Tarokh, "Achievable rates in cognitive radio channels," *IEEE Trans. on Information Theory*, vol. 52, no. 5, pp. 1813–1827, 2006.
- [4] J. Mitola, "Cognitive radio," Ph.D. dissertation, Royal Institute of Technology (KTH), 2000.
- [5] X.-Y. Li, S. Tang, and F. Ophir, "Multicast capacity for large scale wireless ad hoc networks," in *Proc. ACM MobiCom 2007*.
- [6] A. Keshavarz-Haddad and R. Riedi, "Multicast capacity of large homogeneous multihop wireless networks," in *Proc. IEEE WiOpt 2008*.
- [7] S.-W. Jeon, N. Devroye, M. Vu, S.-Y. Chung, and V. Tarokh, "Cognitive networks achieve throughput scaling of a homogeneous network," *submitted to IEEE Trans. on Info. Theory*, Feb 2008.
- [8] C. Wang, X.-Y. Li, C. Jiang, S. Tang, Y. Liu, and J. Zhao, "Scaling laws on multicast capacity of large scale wireless networks," in *Proc. IEEE INFOCOM 2009*.
- [9] M. Franceschetti, O. Dousse, D. Tse, and P. Thiran, "Closing the gap in the capacity of wireless networks via percolation theory," *IEEE Trans. on Information Theory*, vol. 53, no. 3, pp. 1009–1018, 2007.
- [10] S. Li, Y. Liu, and X.-Y. Li, "Capacity of large scale wireless networks under gaussian channel model," in *Proc. ACM MobiCom 2008*.
- [11] M. Vu, N. Devroye, and V. Tarokh, "On the primary exclusive regions in cognitive networks," to appear in *IEEE Trans. on Wireless Comm.*
- [12] O. Dousse and P. Thiran, "Connectivity vs capacity in dense ad hoc networks," in *Proc. IEEE INFOCOM 2004*.
- [13] R. Zheng, "Asymptotic bounds of information dissemination in power-constrained wireless networks," *IEEE Trans. on Wireless Comm.*, vol. 7, no. 1, pp. 251–259, Jan. 2008.
- [14] A. Keshavarz-Haddad and R. Riedi, "Bounds for the capacity of wireless multihop networks imposed by topology and demand," in *Proc. ACM MobiHoc 2007*.
- [15] R. Meester and R. Roy, *Continuum Percolation*. Cambridge University Press, 1996.
- [16] Z. Kong and E. M. Yeh, "Characterization of the critical density for percolation in random geometric graphs," in *Proc. IEEE ISIT 2007*.
- [17] G. Grimmett, *Percolation*. Springer, 1999.
- [18] C. Wang, S. Tang, X.-Y. Li, and C. Jiang, "Multicast capacity scaling laws of cognitive networks," CS of HKUST available at <http://www.cse.ust.hk/~liu/chengwang/cognitive.pdf>, Tech. Rep., 2009.

- [19] N. Devroye, M. Vu, and V. Tarokh, "Achievable rates and scaling laws for cognitive radio channels," *EURASIP Journal on Wireless Communications and Networking*, no. 2, pp. 1–12, 2008.
- [20] P. Gupta and P. R. Kumar, "The capacity of wireless networks," *IEEE Trans. on Information Theory*, vol. 46, no. 2, pp. 388–404, 2000.
- [21] A. Keshavarz-Haddad, V. Ribeiro, and R. Riedi, "Broadcast capacity in multihop wireless networks," in *Proc. ACM MobiCom 2006*.
- [22] S. Jafar and S. Srinivasa, "Capacity limits of cognitive radio with distributed and dynamic spectral activity," *IEEE Trans. Comput.*, vol. 25, no. 5, pp. 529–537, 2007.
- [23] M. Vu and V. Tarokh, "Scaling laws of single-hop cognitive networks," to appear in *IEEE Trans. on Wireless Comm.*
- [24] X. Mao, X.-Y. Li, and S. Tang, "Multicast capacity for hybrid wireless networks," in *Proc. ACM MobiHoc 2008*.
- [25] B. Liu, P. Thiran, and D. Towsley, "Capacity of a wireless ad hoc network with infrastructure," in *Proc. ACM Mobihoc 2007*.

## APPENDIX

Before proving the lemmas, we recall a useful result in [9].

*Lemma 23:* Let  $X$  be a Poisson random variable with parameter  $\lambda$ . Then, for  $x > \lambda$ , it holds that

$$\Pr(X \geq x) \leq e^{-\lambda} \cdot (e\lambda)^x / x^x.$$

Due to limited space, we provide the concise proofs for some lemmas here. Please see details in technical report [18].

*Proof of Lemma 18: Part 1:* In this part, we analyze the rate along primary highways. For any transmitter  $v_i^p \in \mathcal{V}(\mathfrak{F}_p^{r_1})$  (Definition 4) and its receiver  $v_j^p \in \mathcal{V}(\mathfrak{F}_p^{r_1})$ , they must locate on two adjacent PPCs.

First, we bound  $I_{pp}(v_i^p, v_j^p)$ . Observe that the transmitters in the eight closest cells are located at Euclidean distance at least  $l_p$ . Extending the sum of the interferences to the whole region, it can be bounded above as

$$I_{pp}(v_i^p, v_j^p) \leq P \sum_{i=1}^n 8i / (3i - 1)^\alpha \leq 8P \cdot \Delta_3(\alpha),$$

where  $\Delta_3(\alpha)$  is a constant depending on  $\alpha$  and the latest inequality holds true by *Cauchy Test* and  $\alpha > 2$ .

Second, we upperbound  $I_{sp}(v_i^p, v_j^p)$ . The *percolation preservation region* (PPR) centered on  $v_j^p$  consists of 9 SPCs, hence, for any slot  $\tau$ , there must exist one SPC out of the 9 SPCs that would be scheduled if it were not in the *preservation region*. Denote the cell as  $c_\tau$ . Considering those cells containing the nodes in  $\mathcal{V}_s(v_i^p, \tau)$ , the secondary users in the eight closest cells to  $c_\tau$  are far away from  $v_j^p$  with distance at least  $l_s$ . Similar to bounding  $I_{pp}(v_i^p, v_j^p)$ , we get that  $I_{sp}(v_i^p, v_j^p) \leq 8P \cdot \Delta_4(\alpha)$ , where  $\Delta_4(\alpha)$  is a constant.

Third, we lower bound the signal received from the transmitter  $S(v_i^p, v_j^p)$ . Since any communication pairs locate in the adjacent cell, we have  $S(v_i^p, v_j^p) \geq P(l_p)^\alpha (\sqrt{5} \cdot l_p)^{-\alpha} = 5^{-\frac{\alpha}{2}} P$ .

Finally, considering the limit of SINR, we can obtain that

$$R_p(v_i^p, v_j^p) \geq \log\left(1 + \frac{5^{\alpha/2}}{N_0/P + 8\Delta_3(\alpha) + 8\Delta_4(\alpha)}\right) \geq R_1,$$

where  $R_1 > 0$  is a constant. Since each transmitter in  $\mathcal{V}(\mathfrak{F}_p^{r_1})$  is scheduled at least once out of 9 time slots, we have the total rate along the primary *highways* is achieved of at least  $\frac{1}{9}R_1$ , which proves the result for PaN.

*Part 2:* Here, we analyze the achievable rate along secondary highways. For any link  $v_i^s v_j^s$  in  $\mathcal{N}_s(m)$ , if  $v_j^s$  is out of the PPRs it can be served. However, there is possibly a time slot  $\tau_0$  in which the distance from a primary node  $v_0^p \in \mathcal{V}_p(v_i^s, \tau_0)$  to  $v_j^s$  is so close that a fatal interference is

imposed on  $v_j^s$ . Since the same packet in  $\mathcal{N}_s(m)$  is transmitted along 3 time slots under  $\mathfrak{F}_s^{t_1}$ , we can guarantee that there exists a slot  $\tau$  out of 3 time-slots in which the minimum distance to  $v_j^s$  from all  $v^p \in \mathcal{V}_p(v_i^s, \tau)$  is at least  $\frac{l_p}{2}$ . Henceforth, using the similar process, we can obtain that  $R_s(v_i^s, v_j^s) \geq R_2$ , where  $R_2 > 0$  is a constant. Since each transmitter in  $\mathcal{V}(\mathfrak{F}_s^{r_1})$  is successfully scheduled at least once out of 27 time slots, the total rate along secondary *highways* can be achieved of  $\frac{1}{27}R_2$ , which completes the proof. ■

*Proof of Lemma 19:* For PaN, according to Lemma 18, the rate of all *stations* on the primary highways can be achieved a constant order during phase  $\mathfrak{F}_p^{t_1}$ . By Lemma 5, we only need prove the area of *sufficient regions* of all primary *stations* are uniform *w.h.p.*, at most  $Q_1^p = O(\frac{\sqrt{n_d}}{\sqrt{n}})$ .

Given a *station*  $v_t^p$  passed by a primary *highway*. First, we analyze event  $E(1, k, t)$ , i.e.,  $E(\mathfrak{F}_p^{r_1}, \mathcal{M}_k, v_t^p)$ . For  $e_{ij} \in \Pi_k$ , define event  $E_{ij}(1, k, t)$  as: During phase  $\mathfrak{F}_p^{r_1}$ , the routing from  $u_i$  to  $u_j$  passes by  $v_t^p$ . Obviously, we have that  $\Pr(E(1, k, t)) = \Pr(\bigcup_{e_{ij} \in \Pi_k} E_{ij}(1, k, t))$ . According to union bounds, we obtain

$$\Pr(E(1, k, t)) \leq \min \left\{ \sum_{e_{ij} \in \Pi_k} \Pr(E_{ij}(1, k, t)), 1 \right\}.$$

Second, we upperbound  $\Pr(E_{ij}(1, k, t))$ . Consider the routing of  $u_i \rightarrow u_j$  in phase  $\mathfrak{F}_p^{r_1}$ , define the horizontal (or vertical) Euclidean distance at which data are transmitted as *horizontal (or vertical) span distance*  $L_{ij}^{p,h}$  (or  $L_{ij}^{p,v}$ ). Then,

$$L_{ij}^{p,h} \leq \|u_i u_{i,j}\| + \varpi(n), \quad L_{ij}^{p,v} \leq \|u_{i,j} u_j\| + \varpi(n)$$

where the point  $u_{i,j}$  is determined in Algorithm 2,  $h_p = \left\lceil \frac{\sqrt{n}}{\sqrt{2c}} \right\rceil$  and  $\varpi(n) = \frac{\sqrt{2c}}{\sqrt{n}} \cdot (\kappa \log h_p - \epsilon(h_p) + 1) + \frac{\sqrt{\log n}}{\sqrt{n}}$ . Consider a square region  $\mathcal{Q}_{ij}(1, k, t)$  with sides of  $w_p \times 2(L_{ij}^{p,h} + L_{ij}^{p,v})$ , where  $w_p$  is the width of the slice, we have,

$$\Pr(E_{ij}(1, k, t)) \leq \Pr(u_i \text{ locates in } \mathcal{Q}_{ij}(1, k, t))$$

Finally, we upperbound  $\|\mathcal{Q}(1, k, t)\|$  as  $Q_1^p$ . Obviously,

$$\|\mathcal{Q}(1, k, t)\| \leq \sum_{e_{ij} \in \Pi_k} 2w_p \cdot (L_{ij}^{p,h} + L_{ij}^{p,v}).$$

By Lemma 1, we have that

$$\|\mathcal{Q}(1, k, t)\| = O\left(\frac{\sqrt{n_d}}{\sqrt{n}} + \frac{n_d \log n}{n}\right).$$

Since  $n_d = O(n/(\log n)^2)$ , it holds that  $Q_1^p = O(\sqrt{n_d}/\sqrt{n})$ . By a similar procedure, we can prove the result for SaN. ■

*Proof of Lemma 20:* Using a similar procedure of Lemma 18, we can obtain during phase  $\mathfrak{F}_p^{t_2}$  (or phase  $\mathfrak{F}_s^{t_2}$ ), the total rate along PCPs (or SCPs) can be achieved of order  $\Omega(1)$ . Similar to Lemma 19, we can prove that the area of *sufficient regions* of  $v_t^p \in \mathcal{V}(\mathfrak{F}_p^{r_2})$  (or  $v_t^s \in \mathcal{V}(\mathfrak{F}_s^{r_2})$ ) are uniform *w.h.p.*, at most of  $Q_2^p = O(\frac{n_d}{n} \cdot (\log n)^{3/2})$  (or  $Q_2^s = O(\frac{m_d}{m} \cdot (\log m)^{3/2})$ ). Then, by Lemma 5, we complete the proof. ■

*Proof of Lemma 22:* Similar to Lemma 18, we can obtain that the total rate along SCPs can be achieved of order  $\Omega(1)$  under the strategy  $\mathfrak{F}_s$ . On the other hand, similar to Lemma 20, we can prove that the area of *sufficient region* of all nodes  $\bar{v}_t^s \in \mathcal{V}(\mathfrak{F}_s^r)$  is bounded above by

$$\bar{Q}^s = \begin{cases} O\left(\sqrt{m_d \cdot \frac{\log m}{m}}\right) & \text{when } m_d \sim [1, \frac{m}{\log m}] \\ O(1) & \text{when } m_d \sim [\frac{m}{\log m}, m] \end{cases}$$

By Lemma 5, we complete the proof. ■